

Public Safety Communications Incident Handling Process

APCO candidate American National Standard 1.113.2-202X

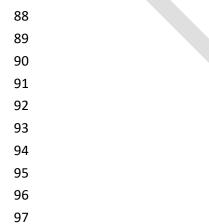
TABLE OF CONTENTS

FOREW	ORD	4
EXECUT	IVE SUMMARY	6
INTROD	OUCTION	7
AGENCY	Y RESPONSIBILITIES	8
2.1	ESTABLISH A WRITTEN DIRECTIVE SYSTEM	8
2.2	ESTABLISH A PERFORMANCE MANAGEMENT SYSTEM	8
PUBLIC	SAFETY TELECOMMUNICATOR (PST) RESPONSIBILITIES	9
3.1	PST RESPONSIBILITIES RELATIVE TO CALL PROCESSING AND INCIDENT HANDLING	9
3.2	Initial Process	9
3.3	WHEN RECEIVING REQUESTS FOR SERVICE	10
CALL PR	OCESSING	11
4.1	CALL PROCESSING	11
4.2	CALL DELIVERY METHOD	12
4.3	CALL RECEIPT	12
4.4	CALL INTERROGATION	14
4.5	INITIATE INCIDENT DOCUMENTATION: (PST ACTION POINT)	15
4.6	CALL PROCESSING PERFORMANCE METRICS	16
4.7	Workflow	19
INCIDEN	NT HANDLING	21
5.1	Incident Handling	21
5.2	DETERMINE INCIDENT JURISDICTION (PST DECISION POINT)	22
5.3	DETERMINE INCIDENT PRIORITY (PST DECISION POINT)	22
5.4	DETERMINE DISPATCH REQUIREMENTS (PST DECISION POINT)	23
5.5	DETERMINE ADDITIONAL RESOURCES	25
5.6	CALL Transfer/Call Referral	25
5.7	INCIDENT HANDLING PERFORMANCE METRICS	26
5.8	Workflow	27
FACTOR	S AFFECTING CALL PROCESSING TIMES	28
6.1	Administrative	28
6.2	FACTORS AFFECTING OR DELAYING CALL PROCESSING TIMES	28
6.3	FACTORS AFFECTING OR DELAYING INCIDENT PROCESSING TIMES	29
6.4	FACTORS AFFECTING INCIDENT PROCESSING TIMES — NON-CALL RELATED	29
ACRONY	YMS AND ABBREVIATIONS	31
GLOSSA	RY	32
REFEREI	NCES AND RESOURCES	34

APPENDIX A – WORKFLOW	35
APPENDIX B – WORKFLOW	36
APPENDIX C	37
APPENDIX D	38
ACKNOWLEDGMENTS	39
NOTES	40

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FOREWORD

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EXECUTIVE SUMMARY

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- In 2019, an APCO national standard was established providing agencies with a set of general guidelines outlining the incident handling process (from beginning to end) for improving performance and processes in service to those calling for assistance. This standard serves as a noble starting point for this revision.
- 174 The goal of this revision was three-fold. First, to make the standard more holistic and not just public safety focused.
- 175 The Working Group recognized many Emergency Communications Centers (ECC) handle incidents other than
- public safety and the working group needed to include an approach to incident handling for non-public safety
- 177 incidents.
- 178 Second, was to provide enough content for ECC leadership to effectively communicate the steps involved in the
- 179 call processing and incident handling effort and to introduce factors that may affect call answering and incident
- 180 handling times. With call answering time standards available and being mandated for ECCs, it is important to know
- the steps and anomalies involved in the process. This will fill any gaps in understanding why the ECC is or is not
- able to meet established mandates and institute any mitigation measures as needed.
- 183 Third, was to introduce call processing and incident handling metrics that were developed as part of a study
- produced for APCO International by the Center for Social Science Research (CSSR) at George Mason University
- 185 (GMU). The goal of the study was to capture and provide data on how long ECCs take to process different incident
- types and what factors might affect those times. The study also took a deeper look at ECC call processing and
- incident handling times for mission critical call taking, conditional call taking, call answer, and incident handling
- time performance, by the size of the ECC, public safety discipline, and incident type.
- 189 The Public Safety Communications Incident Handling Process Working Group, in conjunction with the APCO
- 190 Standards Development Committee, is pleased to present this revised Public Safety Communications Incident
- 191 Handling Process standard. The Working Group consisted of Public Safety Communications professionals from
- 192 various parts of the United States who were instrumental in the revision of the workflows, steps, and decision-
- making processes involved in the call processing and incident handling effort.
- 194 The workflows in this standard are basic and illustrate effective call and incident handling steps from start to finish.
- 195 The Working Group realized that every ECC is different in size, configuration, and methods used for call processing
- and incident handling and it is incumbent upon the AHJ to determine how results are achieved. This revised
- 197 standard provides ECCs with recommended minimum steps and decision-making processes for the handling of
- requests for service that come into the ECC. Moreover, the information in this standard may offer valuable insight
- into matters such as how long it takes for call answer times, call answer to incident entry times, and incident entry
- 200 to dispatch time, by discipline and incident type.
- The details provided may serve as a benchmark and may complement what is currently being used by the agency.
- A collection of other performance metrics standards is listed in the references and resources section.
- 203 Agencies are not required to adopt this process; any established process would be conducted voluntarily.

204 Chapter One

INTRODUCTION

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The Emergency Communications Center (ECC) is the essential link between the need for public safety services and the resources necessary to help resolve those needs. Crucial to this undertaking is the Public Safety Telecommunicator (PST) call processing and incident handling effort. The detail provided in this revised standard consists of identifying the minimum steps and decision-making processes for the call processing and incident handling effort. In addition, performance metrics are provided from a collaboration between the Center for Social Science Research (CSSR) at George Mason University (GMU) and APCO potentially serving as benchmarks for ECCs. This revision serves as a communication instrument for ECC managers to articulate the steps involved in the call processing and incident handling effort, develop an understanding of the factors affecting call processing times, and setting reasonable expectations for their respective ECC.

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217 SCOPE

- 218 This standard contains multiple aims for the ECC as it relates to call processing and the incident handling process.
- 219 Those include:
- A framework for effective call processing and incident handling processes.
 - Effective practices for call processing and incident handling (types, categories).
- Effective processes for call processing and incident handling from initial contact through disposition.
- Specific metrics to measure ECC performance in call processing and the incident handling process.
- List causal factors that lead to delay in the call processing and incident handling effort
- 225 This standard does not include operational or technical solutions required to address specific or individual
- 226 situations, nor does it include specific vendor recommendations. The focus is on effective practices and processes
- for handling requests for service by the ECC.
- The ECC should apply this standard to achieve the most efficient and effective call processing and incident handling
- 229 process.

230 **PURPOSE**

- To assist the ECC with establishing, implementing, and maintaining effective practices and processes related to
- 232 handling requests for service by the ECC.

Chapter Two 233 **Agency Responsibilities** 234 235 **SCOPE** 236 237 This chapter defines the Agency Having Jurisdiction (AHJ) responsibilities for guiding employees to successfully 238 manage an incident. Included are the minimum requirements for successful adherence, such as establishing 239 effective written directives, performance management, quality assurance, and training. 240 241 2.1 **Establish a Written Directive System** 242 In the call processing and incident handling effort, agencies shall ensure the Emergency Communications 243 Center (ECC) and its staff are set up for success. 244 2.1.1 The AHJ shall provide the Public Safety Telecommunicator (PST) with guidelines, protocols, and/or 245 written directives for the processing of information in the management of incidents. 246 2.1.2 The AHJ shall regularly create, review, and update as appropriate the guidelines, protocols, and/or 247 written directives providing direction to PSTs for processing incidents. 248 2.2 **Establish a Performance Management System** 249 2.2.1 The AHJ shall provide training and set performance expectations for the PST to apply the 250 guidelines, protocols, and/or written directives related to the processing of incidents. 251 252 2.2.2 The AHJ shall ensure the PST is adequately trained, prepared, and ready to process any incident received using technologies provided by the agency. 253 254 2.2.3 The AHJ shall provide an environment where the PST is encouraged to participate regularly in 255 performance reviews. 256 2.2.4 The AHJ shall establish a system by which the job performance of the PST is regularly reviewed 257 and evaluated based upon acceptable incident handling practices or standards. 258 2.2.5 The AHJ shall provide the PST with a regular review of performance, documenting and addressing 259 unacceptable performance through remediation or other appropriate means. 2.2.6 The AHJ shall ensure a fair and consistent application of its disciplinary process associated with 260 job performance. 261 262 2.2.7 The AHJ shall provide a mechanism during the performance review that encourages and allows the PST to identify goals and objectives. 263

2.2.8 The AHJ shall provide the PST with applicable training and continuing education opportunities.

265 Chapter Three

Public Safety Telecommunicator (PST) Responsibilities

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SCOPE

During the call processing and incident handling effort, the PST is tasked with receiving, processing, and transmitting public safety information to the appropriate responders. This chapter looks to define the roles and responsibilities for PSTs concerning the call and incident handling process.

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3.1 PST Responsibilities Relative to Call Processing and Incident Handling

The PST's role and responsibilities in the call processing and incident handling effort cannot be overstated. The PST must be ready to process any incident received utilizing the technological systems provided by the AHJ. The public and public safety stakeholders demand unparalleled job performance and excellent customer service from PST. This can only be accomplished when the PST is mentally and physically fit for duty, well trained, and remains in a constant state of readiness at all times.

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3.2 Initial Process

- 3.2.1 The PST shall be knowledgeable of how calls are received by the ECC (i.e., telephone, in person, radio, text to 9-1-1, automated data).
 - 3.2.2 The PST shall be knowledgeable of the standard answering techniques used for 9-1-1 calls as required by the AHJ.
 - 3.2.3 The PST shall be knowledgeable of the standard answering techniques for non-emergency calls as required by the AHJ.
 - 3.2.4 The PST shall be knowledgeable of the information gathering requirements as required by the AHJ.
 - 3.2.5 The PST shall be knowledgeable of the process and course of action taken when transferring emergency calls as required by the AHJ.
 - 3.2.6 The PST shall be knowledgeable of the policy and procedure for processing abandoned calls as required by the AHJ.
 - 3.2.7 The PST shall be knowledgeable of the policy and procedure for processing disconnected calls as required by the AHJ.
- 3.2.8 The PST shall be knowledgeable of the policy and procedure for processing open line and non-responsive calls as required by the AHJ.

298 299		3.2.9	The PST shall be knowledgeable of the technology used to receive and process calls (i.e., CAD, 9-1-1, CPE, TDD, etc.) in the ECC.
300 301 302		3.2.10	The PST shall be competent in the use of technology when an incident is received (i.e., by telephone, radio, CAD, 9-1-1 CPE, TDD, etc.) in the ECC.
303	3.3	When	Receiving Requests for Service
304 305		3.3.1	The PST shall be prepared to process any incident received using technology provided by the Agency.
306		3.3.2	The PST shall greet the reporting party (RP) using AHJ policy, procedure and/or written protocols.
307 308		3.3.3	The PST shall control and maintain the conversation by calmly and professionally asking questions, while also listening to the information being provided.
309		3.3.4	The PST shall document the call using technological systems provided by the AHJ.
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311			

312 Chapter Four

Call Processing

SCOPE

This chapter looks to identify and describe the characteristics involved in the call processing phase. The intent is to provide ECC leadership with adequate information allowing effectual communication of factors and steps involved that may affect call processing times. In addition, performance metrics are provided that align with the objectives of the ECC and the duties of the PST relating to call processing.

4.1 Call Processing

The term "call processing" is widely used in the public safety communications industry and embodies different meanings. From a technical perspective, it denotes a sequence of operations performed by a switching system from the acceptance of an incoming call through the final disposition of the call. From an ECC operation perspective, it implies a course of action performed by the PST that includes answering the call, managing the conversation, and gathering pertinent information related to the incident.

In the ECC, the call processing phase involves more than simply answering the call. It involves such actions as call delivery, call receipt, call interrogation, and initiating incident documentation. In the call processing phase, there is a technical and human interface. Each interface has time element associated, is varied from start to finish, and is dependent on ECC size and operational structure.

Note to reader: The working group recognized no two ECCs are the same and each may have different methods for call processing. The content for this chapter originated from a consensus-based workflow developed by the working group for the call processing effort and establishes a straightforward benchmark for agency use. Ultimately, it is up to the AHJ to determine the respective workflows and call processing and incident handling metrics for their respective ECC.

<u>Chapter 6</u> will present factors, identified in an APCO and GMU/CSSR study,² that will affect call processing times and the importance for ECC management to have systems in place allowing for the collection of the data for planning and reporting purposes.

Assumptions:

- The PST meets requirements as listed in 2.2.2 of this document.
- The ECC utilizes Customer Premises Equipment (CPE).
- The ECC is using a computer aided dispatch (CAD) system for incident handling or other applicable record management system (RMS) and/or processes.
- The reader has studied Appendix A, titled Workflow: Call Processing Phase.

General Services Administration. Telecommunications: Glossary of Telecommunication Terms (updated 2000). "Call Processing: 1...". https://www.its.bldrdoc.gov/fs-1037/fs-1037c.htm. Accessed 09/02/2021.

² APCO and GMU CSSR. Call Handling and Incident Processing at ECCs (2019).

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4.2 **Call Delivery Method**

The call processing phase contains a technical and user interface component. For instance, an assortment of technologies is available and used by a person(s) to contact an AHJ for public safety assistance during emergency and non-emergency situations (see "Call Delivery" in Appendix A).

- 4.2.1 Start Point the initiating component in the call delivery phase is the person or entity requesting public safety service from the AHJ. The person or entity may use the following technological means to contact the AHJ:³
 - 4.2.1.1 Wireline 9-1-1 (Basic and Enhanced)
 - 4.2.1.2 Wireless 9-1-1 (Phase I, Phase II, Location Based Routing)
 - 4.2.1.3 Voice over Internet Protocol (VoIP)
- 357 4.2.1.4 Internet
- 358 4.2.1.5 SMS/Text to 9-1-1
- 4.2.1.6 Telematics 359
- 360 10-digit or 20-digit dedicated emergency lines
- 4.2.1.8 Tip Lines 361
 - 4.2.1.9 Third party applications (pre-alerting systems, Smart 9-1-1, RapidSOS)
 - 4.2.1.10 Direct Dial Lines (local business, security-sensitive business, alarm companies)
 - 4.2.1.11 Dedicated Ring-Down Circuits (crash phone, emergency call boxes, pre-alerting systems)

Call Receipt 4.3 367

> In the call processing phase, call receipt entails the method by which the call for emergency service is delivered and received in the ECC.4 Call Set-Up with legacy and newer technology, may result in differences in how the call is delivered and received. It will be up to the AHJ to determine how this is achieved and how they want to measure performance. The AHJ should consider referring to industry standards and best practices for this endeavor. 5 At a minimum:

4.3.1 The AHJ shall ensure the integrity and effectiveness of the call set-up process in the delivery of emergency calls to the ECC.

³ Some of the technological methods listed may not be applicable in your area.

APCO ANS 1.113.1-2019 Public Safety Communications Incident Handling Process. "3.1. Incident Process Elements/Tasks."

⁶ Refer to most current versions of APCO/NENA ECC Service Capability Rating Scale for E9-1-1 and NG9-1-1 Environments and CSRIC Working Group 1 Final Report - Task 1: Optimizing PSAP Re-Routes - 9-1-1 Call Routing Best Practices.

375 376	4.3.2	prevent a single point of failure.				
377 378	4.3.3		shall ensure the call set-up and delivery technology and equipment are maintained g to manufacture specifications.			
379 380	4.3.4	The AHJ s	shall ensure the equipment room is maintained following best practices and industry s. ⁶			
381 382	4.3.5		shall ensure only trained and/or certified technicians install and maintain call delivery gy and equipment.			
383 384	4.3.6		shall ensure the ECC has contingency plans in place in the event the call delivery gy and/or equipment fails.			
385 386		4.3.6.1	The AHJ shall ensure such plans are conducted at intervals as prescribed by the Agency, but not less than annually.			
387 388	4.3.7		shall ensure the PST is trained and ready to process any service request using gies provided by the Agency.			
389 390		4.3.7.1	This shall include automated data, specifically technologies which do not allow 2-way communication between the PST and the source.			
391 392		4.3.7.2	This shall include Customer Premises Equipment (CPE), Computer Aided Dispatch (CAD) systems, TDD/TYY, etc.			
393 394	4.3.8		iated Incidents ⁷ - In the call processing phase, there are times when incidents received or originate from units in the field. At a minimum:			
395 396		4.3.8.1	The AHJ shall ensure the integrity and availability of the delivery of emergency calls to the ECC from units in the field. The AHJ should determine how this will be achieved.			
397 398		4.3.8.2	The AHJ shall develop and maintain procedures to identify the handling of field-initiated incidents.			
399 400		4.3.8.3	The AHJ shall develop opportunities to discuss procedures and protocols with internal stakeholders to improve operational efficiency of the call processing effort.			
401 402 403		4.3.8.4	The PST should understand and be able to recognize emergency calls that will come from field units. The following identifies the tasks associated with field-initiated incidents:			
404 405			Receive request for service (telephone, radio, etc.)Acknowledge unit			

⁶ Some examples of these are telecom, computer and/or IT server rooms. Industry standards development bodies for such rooms are TIA, BICSI, NEC, NFPA, IEEE, etc.

Note: this section is applicable to public safety and non-public safety field-initiated incidents. If the ECC provides dispatching services for stakeholders within the organization, other than PS, this section can be used for developing operational controls.

406				Initiate incident documentation
407				Establish location and incident type
408				
409			4.3.8.5	The AHJ shall ensure that PST training programs relating to field-initiated incidents are
410				developed and updated (as applicable).
411				
412	4.4	Call I	nterroga	ation
413		In the	call proces	ssing phase, call interrogation begins when the call is answered by the PST, and includes
414		tasks s	uch as pro	viding a standard greeting, interview of the caller, extracting pertinent information, and
415		ends w	hen the PS	ST has received appropriate information as defined in Agency procedures and protocols.8
416		It is im	portant to	note the call interview process can continue after the emergency unit notification if the
417		incider	nt requires	continuous communication with the caller on the phone to get further information.
418				
419		4.4.1	The AHJ	shall ensure a call interview system is in place and the PST is trained prior to utilization.
420		4.4.2	The AHJ s	shall ensure a quality assurance/improvement process is in place for the call interrogation
421			effort.	
422		4.4.3	The PST	shall use and follow the AHJ's policies, procedures, and/or written protocols related to
423				nterrogation process.
424		4.4.4	The PST	shall control and maintain the conversation by asking pertinent questions to guide the
425			caller, wh	hile also listening to the information provided by the caller.
426		4.4.5	The PST'	s primary concern should always be the safety of the caller when conducting their
427			interview	
428			4.4.5.1	The PST shall use and follow the AHJ's policies, procedures, and/or written protocols as
429				related to ensuring the safety of the caller.
430		4.4.6	When red	ceiving the call, the PST shall greet the caller and/or reporting party (RP) following the
431				licy and procedure.
432		4.4.7	After gre	eting the caller, the PST shall determine the exact location where assistance is needed
433			including	s, but not limited to:
434			4.4.7.1	The PST shall verify numerical addresses, street names and cross streets, intersections,
435				direction identifiers and mileposts.
436			4.4.7.2	If specifics are not known, the PST shall request landmarks or estimated proximity to
437				landmarks.
438			4.4.7.3	The PST shall verify location information provided by the caller. This should be done in
439				tandem with the caller and AHJ provided equipment, as applicable.

⁸ NFPA 1225 Standard for Emergency Service Communications (2022 edition).

440			4.4.7.4	Application	ns such as agency specific GIS mapping systems, and other third-party
441					is the AHJ has provided to the PST, should be used to help locate callers
442				unsure of t	heir locations.
443				4.4.7.4.1	The AHJ shall assess the limitations of any application authorized for use in
444					the ECC.
445 446				4.4.7.4.2	The AHJ shall ensure the PST is trained in the use of any authorized applications.
447		4.4.8	After de	tormining t	he location where assistance is needed, obtaining a callback number is
448		4.4.0		_	nt the call is disconnected.
449 450			4.4.8.1	The PST s	shall obtain a callback number of the RP before obtaining additional n.
			4 4 0 2		
451 452			4.4.8.2		all verify the callback number. Verification will be completed per AHJ policy dure. This should be done in tandem with the caller and AHJ provided
452 453				-	aure. This should be done in tandem with the caller and Arts provided as applicable.
454		4.4.9	After det		e callback number, the PST shall determine the nature of the incident.
455			4.4.9.1	The PST sh	all ensure a CAD record has been initiated.
456			4.4.9.2	The PST sh	all select an incident type following the AHJ's policy and procedure.
457 458			4.4.9.3		hall consider and identify potential safety issues when determining the e incident type.
459 460			4.4.9.4		re of the incident requires maintaining contact with the RP, the PST shall t a minimum, the following:
461				4.4.9.4.1	Continuously gather information.
462				4.4.9.4.2	Provide instructions.
463 464				4.4.9.4.3	Update CAD record as needed until it is determined contact is no longer needed.
465 466			4.4.9.5		re of the incident does not require maintaining contact with the RP, the PST de any appropriate instructions and then terminate the call.
467 468	4.5	Initia	te Incid	ent Docur	mentation: (PST Action Point)
469 470 471		In the	call proce	essing effort PST and con	t, initiating incident documentation begins immediately when the call is tinues into the call interrogation process. Incident documentation continues g phase and is covered in detail in Chapter 5 of this document.
472		4.5.1	The AHJ	shall ensure	the integrity and availability of incident documentation systems in the ECC.

473 474		4.5.2	The AHJ documer		ire a quality	assurance/improvement process is in place for incident
475 476		4.5.3		shall use and		HJ's policy, procedure, and protocol as related to the incident
477		4.5.4	The PST	shall validate	e and input inf	ormation as received from the reporting party or entity.
478 479		4.5.5	The PST	shall ensure	the incident d	ocumentation is correct and complete.
480	4.6	Call P	rocessii	ng Perforr	mance Met	rics ⁹
481 482 483 484 485 486		proces realisti call pro	sing times c and relia	are not simpole, the creatification for the creation for	ole measures a ation of such n	auge an ECC's effectiveness is the call processing time. Call and can be counterproductive if not properly developed. To be netrics must take into consideration all factors involved in the depicted in this chapter and also shown in Appendix A titled
487 488 489				•		gement with useful areas of measurement and performance in their respective centers.
490 491 492 493		4.6.1	finish. Ch	napter 6 will ortant for EC	presents facto	will have a time element involved that will vary from start to ors that will affect call processing and incident handling times. It to have a system(s) in place that allows for the collection of g purposes.
494			4.6.1.1	The AHJ sh	all determine	the best method for collecting the call processing data.
495 496			4.6.1.2			ten directive that specifies how the data collection process is m, such directives shall address the following:
497 498				4.6.1.2.1		choose data record method (work sampling, vendor provided readsheet, etc.).
499				4.6.1.2.2	Identify para	meters for data collection.
500 501					4.6.1.2.2.1	Collect data at certain times of the day rather than continuously.
502 503					4.6.1.2.2.2	Establish a time interval to ensure consistency (30, 90, 120 days, and annual).
504					4.6.1.2.2.3	Average out the times for each component.

⁹ The call processing performance metrics in the GMU report were developed from data obtained from a survey of ECCs of various sizes, call interrogation requirements, call priority times (urgencies), data entry methods, and may not reflect that of your agency. Agencies may want to be more specific with their call processing benchmarks and/or metrics.

505 506			4.6.1.2.2.4 Collect data and separate it by public safety dis Fire, EMS).	cipline (Law,
507 508		4.6.1.3	The AHJ shall ensure those who will be performing data collection tasks in trained.	the ECC are
509 510 511	4.6.2	public saf	Up Time¹⁰ - This call processing metric is designed to measure the time it to reach the back-room CPE in the ECC until the call is present on and begins to ring.	
512 513 514		system so	's requiring call set up data may be able to get this information from their software. NG9-1-1 routing system's may be equipped to provide data the public safety call was presented to the NG9-1-1 router system.	•
515 516		4.6.2.1	The AHJ should collaborate with network operators and service providers the best method for collecting this data.	to determine
517		4.6.2.2	Areas of Measurement:	
518 519 520			4.6.2.2.1 The AHJ shall measure the time it takes for a public safety conthe back-room CPE up to the time the call is presented on screen and begins to ring.	
521 522 523	4.6.3		$ m wer~Time^{11}$ - The call answer time performance metric occurs during the is the time when the call arrives into the ECC up until the time it is acknown	•
524 525 526		(see Chap	lership must understand that there are factors that can and will affect call pter 6). Based on this concept, and the data contained in the GMU report, is have been established for the call answer time performance metric.	
527		4.6.3.1	The AHJ shall establish call answer times for their respective ECC's.	
528 529			4.6.3.1.1 The call answer time(s) established shall meet or exceed PSC Ir handling benchmarks/metrics.	cident
530 531		4.6.3.2	For ECC's of any size, the call answer time shall be 20 seconds or less 90 ptime.	percent of the
532 533		4.6.3.3	For ECC's of any size, the call answer time shall be 10 seconds or less 75 ptime.	percent of the
534		4.6.3.4	Areas of Measurement	

10 This performance metric and may or may not be required by the AHJ. Regardless, call set-up times should not be included in call

answer time evaluations. The time when the phone is actually ringing in the ECC, should be included in call answer time.

¹¹ The call answer time metric originates from a 2019 study conducted by GMU/CSSR on behalf of APCO focusing on call handling and incident processing times, specifically, "ECC Call Answer Times" shown in Figure 13 on Pg. 29 which reflects the data based on the majority of ECCs that answered calls within the 10 second 75% of the time.

535			To conduct	t the call answer time measurement:
536 537			4.6.3.4.1	The AHJ shall measure the point in time when a public safety call for service arrives at the CPE up to the time the call is answered.
538 539			4.6.3.4.2	The AHJ should measure the number of emergency and non-emergency calls received by day, week, month, and year.
540 541			4.6.3.4.3	The AHJ should measure the number of wireline and wireless emergency and non-emergency calls received.
542			4.6.3.4.4	The AHJ should measure the number of hang-up calls.
543			4.6.3.4.5	The AHJ should measure the number of abandoned calls.
544			4.6.3.4.6	The AHJ should measure the number of transferred calls.
545 546			4.6.3.4.7	The AHJ should collect and separate data by public safety entity (Law, Fire, EMS).
547 548		4.6.3.5	The AHJ sh	all get this data from their respective 9-1-1 systems or CAD system software, ble.
549 550		4.6.3.6		ould collaborate with network operators and service providers to determine ethod for collecting the data.
551 552 553	4.6.4	measure		ent Entry – by PS Discipline - The call answer to incident entry performance in the time a call is answered by the PST to initiation of incident entry into dium.
554 555 556 557		incident been est	entry times ablished and	t understand that there are factors that can and will affect call answer to (see <u>Chapter 6</u>). Therefore, two minimum standards for this process have dare listed below. Each metric may function as a target for ECCs to strive for able expectations for call answer to incident entry times.
558 559 560		4.6.4.1	identify ap	ard is intended to be applied to emergency calls for service. The AHJ shall plicable incident types and establish call answer to incident entry time – by ne performance metrics for their respective ECC.
561 562 563			ϵ	The call answer to incident entry – by PS discipline time(s) shall meet or exceed PSC incident handling benchmarks/metrics for those incident types dentified by the AHJ.
564 565		4.6.4.2	For Law En	forcement Calls, the call answer to incident entry time ¹² for ECC's of any
566			4.6.4.2.1	Shall be within 80 seconds or less 90 percent of the time.

¹² APCO/GMU report Figure 14: Law Enforcement Call Answer to Incident Entry times (Page 30).

567			4.6.4.2.2	Shall be within 60 seconds or less 75 percent of the time.
568		4.6.4.3	For Fire ¹³ a	and EMS ¹⁴ Calls, the call answer to entry time for ECC's of any size::
569			4.6.4.3.1	Shall be within 60 seconds or less 90 percent of the time. 15
570		4.6.4.4	Areas of M	easurement:
571			To conduct	t the call answer to incident entry time measurement:
572 573			4.6.4.4.1	The AHJ shall measure the time from when the call is answered to initiation of incident entry.
574 575			4.6.4.4.2	The AHJ shall obtain this data from their respective 9-1-1 systems or CAD system software, if applicable.
576 577			4.6.4.4.3	The AHJ should collaborate with network operators and service providers for guidance on the best method for collecting the data.
578 579			4.6.4.4.4	The AHJ should collect data and separate it by public safety discipline (Law, Fire, EMS), as applicable.
580 581 582 583		APCO an	d GMU/CSS	Appendix D contains information on the average call answer time for ECCs in R study and listed by ECC size. The data from the study is informational in e as a benchmark for your agency.
584	4.7	Workflow		
585 586 587 588 589		humans and/or abundant. Under procedures and	systems, a wrstanding the protocols. A	in every kind of business and industry. When data is passed between workflow is created. In the call processing effort, workflow processes are e workflow and their processes is an essential part in the development of workflow process will show the flow of work from start to finish as well as ow consists of the following elements:
590		·		mplete a task.
591			s required fo	·
592			·	e for each step.
593		 Interaction 	on between o	different steps.
594		474		
595 596			should refer v unique to t	to the call processing workflow in <u>Appendix A</u> and develop a call processing
าฯท		W()rKTINV	v unimbe fo t	Nem.

¹³ APCO/GMU report Figure 16: Fire Call Answer to Incident Entry times (Page 32)

APCO/GMU report Figure 18: EMS Call Answer to Incident Entry times (Page 34)
 Based on the study results, the Call Answer to Incident Entry times listed for Fire and EMS disciplines did not change for the numbers listed at the 75 and 90 percentile.

597 598	4.7.2	The AHJ should use the call processing workflow to monitor and ensure work is done predictably as intended.
599	4.7.3	The AHJ should use the call processing workflow for streamlining and optimizing work activities.
600	4.7.4	The AHJ should use the call processing workflow for managing risk.
601	4.7.5	The AHJ should use the call processing workflow to enhance accountability.
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Chapter Five 626

Incident Handling

SCOPE 628

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This chapter looks to identify and describe the characteristics involved in the incident handling phase. The intent is to provide ECC leadership with enough information that allows them to effectively communicate the steps involved and the factors that may affect incident handling times. Performance metrics are provided that align with the objectives of the ECC and the duties of the PST as they relate to the incident handling phase. The performance metrics provided can be used by agencies to gauge the ECC's effectiveness in the incident handling effort.

5.1 **Incident Handling**

In the ECC, "Incident Handling" involves activities performed by the PST that include such tasks as incident data entry, determination of jurisdiction, call transfer, determining priority, and dispatching assigned units.

In most cases, the incident handling phase occurs concurrently with the call processing phase. This is dependent on the concept of operations established for the ECC. For example, in ECCs where the PST performs both the call taking and dispatch function, the PST will perform a majority of call processing and incident handling activities simultaneously.

In medium to large ECCs where the call taking and dispatching functions are separate, once the call taker has determined from the caller, the address/location, callback number and nature of the incident (police, fire, or EMS), the PST may transfer the incident to an appropriate radio dispatcher for further call processing, incident handling and dispatch.

Note to reader: The workgroup recognized that no two ECCs were the same and each may have differing methods for incident handling. The content for this chapter originated from a consensus-based workflow developed by the workgroup for the incident handling effort and will serve as a benchmark. It is up to the agency to determine the respective workflows for their ECC.

Chapter 6 will present factors identified in an APCO and GMU/CSSR study, 16 that will affect incident handling processing times and it is important for ECC management to have systems in place that allow for the collection of this data for planning and reporting purposes.

Assumptions:

- The AHJ has provided the ECC with clear guidance regarding its geographical service area.
- The PST, through the use of appropriate interrogation and/or interviewing techniques, has gathered and verified the location information received.
- The PST meets the requirements as listed in Chapter 2, section 2.2.2.

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¹⁶ APCO and GM CSSR. Call Handling and Incident Processing at ECCs (2019)

660 661			The ECC is using a computer-aided dispatch system for incident handling orother applicable records management systems and/or processes.					
662 663		• Th	he reader has studied Appendix B – Workflow: Incident Handling Phase.					
664	5.2	Dete	rmine Inci	ident Jurisdiction (PST Decision Point)				
665 666				dling phase, this step involves the PST determining if the incident has occurred within nical service area. At a minimum:				
667 668		5.2.1		rall follow AHJ policy and procedure related to incident jurisdiction to determine if will manage the incident and its response.				
669		5.2.2	(If Yes) The	e incident and response will be managed by the AHJ, proceed to 5.3.				
670 671		5.2.3	•	PST determines the incident to be in another locality due to jurisdictional boundaries aid agreements. At a minimum:				
672 673 674			t	When handling callers (I.e., wireline, wireless, IP), the PST may have to make a call transfer and/or referral. In such cases, the PST shall follow the AHJ's policy and procedure and/or call transfer guidance <u>listed in 5.6</u> .				
675 676				When handling in-person contacts (I.e., walk-ins, visitors, etc.), the PST shall provide aid following AHJ's policy and procedure.				
677 678 679		5.2.4	At the completion of the task, the PST shall finalize incident documentation following the AH policy and procedure.					
680	5.3	Dete	rmine Inci	ident Priority (PST Decision Point)				
681 682		This sto	ep involves the PST determining the priority for the incident occurring within its jurisdiction. At mum:					
683 684		5.3.1		nall determine the priority for emergency and/or non-emergency incidents following and procedure.				
685 686		5.3.2		hall use caller interviewing/interrogation techniques, as provided by the AHJ for ag incident priority.				
687 688		5.3.3		shall develop and provide the ECC with clear guidance of its incident priority tion requirements.				
689		5.3.4	The AHJ sh	all determine the priority and order of the methods used to receive calls.				
690 691		5.3.5	The AHJ shaplaced in q	all ensure the most critical incidents are managed first, with the lesser critical incidents ueue.				

692 693		5.3.6		shall provide clear guidelines for determining and/or clarifying types of incidents as cy or non-emergency.
694 695		5.3.7	At a mini	mum, the AHJ shall develop incident priority guidance for the following: 17
696 697 698 699			5.3.7.1	In Progress Incidents – Are events presently occurring and/or may include an imminent danger to life or potential threat to a person or property? "In progress" incidents are usually assigned with the highest priority levels. Most fire service and EMS calls fall into this category.
700 701 702				Examples: Active shooter, subject brandishing a visible weapon in threatening manner, vehicle crash with multiple injuries, structure fire, an armed robbery with suspects on scene, officer needs help, heart attack victim.
703 704 705			5.3.7.2	Just Occurred Incidents - Are those events that have occurred within a timeframe established by the AHJ. Events may be classified as just occurred when there is no immediate threat to life or property.
706 707				"Just occurred" incidents are generally a lower priority than "in progress" incidents but higher priority than "delayed" incidents.
708 709 710				Examples: A law enforcement, fire, or EMS incident occurring less than five minutes ago; a suspect involved in a burglary who left 15 minutes ago by foot or five minutes ago by car; a hit & run accident occurred less than five minutes ago.
711 712 713			5.3.7.3	Delayed Incidents - Are those events that have occurred when the time of occurrence is unknown, and the incident requires a report or investigation? "Delayed Incidents" generally are of lower priority than both "in progress" and "just occurred" incidents.
714 715 716 717				Examples: A law enforcement, fire, or EMS incident that occurred more than six hours ago; burglary occurring more than 24 hours ago; a suspicious vehicle seen several times in past week but not currently in area; civil stand-by; shoplifter in custody, patient transfer, fire hydrant inspections.
718	5.4	Dete	rmine D	ispatch Requirements (PST Decision Point)
719 720			•	es the PST determining if a dispatch is required for the incident occurring within its minimum:
721		5.4.1	EMERGE	NCY (Incidents requiring a dispatch):
722 723			5.4.1.1	Once the PST has obtained essential information, the incident shall be immediately dispatched to the appropriate responder(s), per AHJ policy.
724			5.4.1.2	The PST shall determine if additional resources for the incident will be required. In

 17 APCO Institute Curriculum Public Safety Telecommunicator (PST) course definitions (Module 11).

725			doing so, t	he PST:
726 727			5.4.1.2.1	Shall determine what additional resources are needed (see guidance provided in <u>section 5.5</u>).
728 729			5.4.1.2.2	Shall dispatch additional resources to field responder(s) as appropriate.
730		5.4.1.3	The PST sh	all provide any additional information to responder(s), as applicable.
731		5.4.1.4	The PST sh	all monitor responder(s) under assignment and in their control.
732		5.4.1.5	The PST sh	all ensure acknowledgement of responder(s) radio messages.
733		5.4.1.6	The PST sh	all update incident documentation, as applicable.
734		5.4.1.7	The PST sh	all finalize incident documentation when the incident is terminated.
735	F 4 2	NON EN	IEDOENOV (I.	
736	5.4.2	NON-EM	IERGENCY (II	ncidents requiring a dispatch):
737		5.4.2.1		PST has obtained essential information, the incident shall be dispatched
738			to the app	ropriate responder(s), per AHJ policy.
739		5.4.2.2	The PST sh	all determine if additional resources for the incident will be required. In
740			doing so, t	he PST:
741			5.4.2.2.1	Shall determine what additional resources are needed (see
742				guidance provided in <u>section 5.5</u>).
743			5.4.2.2.2	Shall dispatch additional resources to field responder(s) as
744				appropriate.
745		5.4.2.3	The PST sh	all provide any additional information to responder(s), as applicable.
746		5.4.2.4	The PST sh	all monitor responder(s) under assignment and in their control.
747		5.4.2.5	The PST sh	all ensure acknowledgement of responder(s) radio messages.
748		5.4.2.6	The PST sh	all update incident documentation, as applicable.
749		5.4.2.7	The PST sh	all finalize documentation when the incident is terminated.
750				
751	5.4.3	NON-EM	IERGENCY (II	ncidents that do not require a dispatch):
752		5.4.3.1	The PST sh	all provide information and/or assistance to the caller, as applicable.
753		5.4.3.2	The PST sh	all update incident documentation, as applicable.
754		5433	The PST sh	all finalize incident documentation when the incident is terminated.

755 756 757		5.4.4		shall provide the ECC with a dispatch response plan and/or standard operating es establishing and identifying the appropriate dispatch and/or responder(s) for a second control of the con
758 759			5.4.4.1	These plans and/or procedures shall include the type of preliminary dispatch information to be relayed to responder(s).
760 761 762			5.4.4.2	These plans and/or procedures shall be written for extreme emergency conditions or large-scale tactical operations to address overload of the AHJ resources (I.e., staffing, radio network, etc.).
763 764 765			5.4.4.3	These plans and/or procedures shall include any mutual aid unit(s) as identified by the AHJ.
766	5.5	Detern	nine Ado	ditional Resources
767 768 769			-	es the PST determining if additional resources are needed for the incident its jurisdiction. At a minimum:
770 771		5.5.1	The PST sincident.	shall follow AHJ policy and procedure when additional resources are needed in an
772		5.5.2	At a mini	mum, the AHJ shall provide guidance on additional resources such as but not limited to
773			5.5.2.1	Backup unit(s)
774			5.5.2.2	Other disciplines (local, state, federal or tribal)
775			5.5.2.3	Fire, Medical, Law Enforcement
776			5.5.2.4	Utility/Public Works (e.g., power, gas, electricity, highway, water/sewer,etc.)
777 778			5.5.2.5	Specialty (E.g., bomb squads, mass casualty, hazmat units, search and rescue, air support, animal services, etc.)
779 780 781		5.5.3	The PST resource	shall be familiar with all resources available from local, state, federal, or tribal s.
782	5.6	Call T	ransfer/	Call Referral
783 784 785		anothe	er Authorit	an action taken by the PST to redirect a call (emergency or non-emergency) to ty Having Jurisdiction (AHJ). For example, this action maybe due to an incident her jurisdiction.
786 787 788		to a no	on-emerge	es, a call received may be transferred to another ECC, emergency services agency, or ncy service provider that may be more appropriate to assist the caller's needs (i.e., 988 Suicide and Crisis Lifeline, mental health services, animal control, poison control,

789		311, et).					
790 791				circumstances the PST may have no need for additional information and therefore may tonce the transfer has been verified as successfully completed.				
792 793 794 795		5.6.1	or if another service may be r	The incident is determined to be out of the jurisdiction of the AHJ, more appropriate to assist the caller, the PST shall provide pre-arrival ne AHJ, and transfer the incident to the Authority Having Jurisdiction vice provider.				
796		5.6.2	he AHJ shall provide the PS	with clear guidance on call transfer and callreferral requirements.				
797		5.6.3	he PST shall follow the call t	ransfer and call referral requirements provided by the AHJ.				
798 799		5.6.4	The PST shall ensure connectoring	ectivity with the other AHJ, or appropriate service provider, has the call.				
800		5.6.5	he AHJ should be mindful th	nat call transfers will delay the public safety response.				
801 802		5.6.6	The AHJ should be mindful inavailable until the call is co	that when a 911 call is transferred, the 911 trunkline may be empleted. 18				
803 804			5.6.6.1 The AHJ shall take assistance.	e reasonable steps to lessen the impact on those dialing 911 for				
805			5.6.6.2 A mitigation measu	ure the AHJ may require is the call referral (see definition).				
806 807		5.6.7	he AHJ should attempt to m	inimize the number of call transfers to the lowest extent possible.				
808	5.7	Incide	nt Handling Performar	nce Metrics ¹⁹				
809 810 811 812		This se		place addressing incident handling processing times in the ECC. by providing performance metrics with useful areas of imes in ECC.				
813 814 815 816		5.7.1	neasures time from initiations ssigned to responder(s). This	h – by PS Discipline - This incident handling performance metric n of incident entry up to the time the incident is dispatched and s may be identified as verbal dispatch of the call, completion of patch to Mobile Data Terminal (MDT), or initiation of tones.				

¹⁸ This may occur in legacy systems and not in NG911 systems.

¹⁹ The incident handling processing performance metrics in the GMU report were developed from data obtained from a survey of ECCs of various sizes, call interrogation requirements, call priority times (urgencies), data entry methods, and may not reflect that of your agency. Agencies may want to be more specific with their incident handling performance benchmarks and/or metrics.

818			•	nderstand that there are factors that can and will affect incident entry to
819		•	•	<u>Chapter 6</u>). Therefore, two minimum standards for this process have
820		been esta	iblished and a	are listed below.
821				
822		5.7.1.1		ard is intended to be applied to emergency calls for service. The AHJ shal
823				plicable incident types and establish incident entry time to call dispatch
824			by PS disci	pline performance metrics for their respective ECC.
825			5.7.1.1.1	The incident entry to call dispatch – by PS discipline time(s) shall meet
826				or exceed PSC incident handling benchmarks/metrics for those incident
827				types identified by the AHJ.
828		5.7.1.2	For Law Er	oforcement Calls, the incident entry to call dispatch time for ECC's of any
829			size:	,
				Chall had 20 and a charge of a charge of the first and
830			5.7.1.2.1	Shall be 120 seconds or less 90 percent of the time, and
831			5.7.1.2.2	Shall be 90 seconds or less 75 percent of the time.
832		5.7.1.3	For Fire an	d EMS Calls, the incident entry to call dispatch time for ECC's of any size:
833			5.7.3.3.1	Shall be 90 seconds or less 90 percent of the time.
834			5.7.1.4	Areas of Measurement: To conduct the incident entry to call dispatch
835				time measurement:
836			5.7.1.	4.1 Law Enforcement Incidents - For incidents of this type, the
837				performance measurement shall occur when the PST begins the
838				incident entry process, up to the time the call is dispatched to law
839				enforcement responder(s).
840			5.7.1.	4.2 Fire Incidents - For incidents of this type, the performance
841				measurement shall occur when the PST begins the incident entry
842				process, up to the time the call is dispatched to fire service
843				responder(s).
844			5.7.1.	4.3 EMS Incidents - For incidents of this type, the performance
845				measurement shall occur when the PST begins the incident entry
846				process, up to the time the call is dispatched to EMS service units.
847				
848	5.8	Workflow		
849		Refer to Appendix	<u>k B</u> – Workflo	w: Incident Handling Phase and <u>Chapter 4</u> , Section 4.7 for workflow
850		explanation.		
851				
852				

853 Chapter Six

Factors Affecting Call Processing Times

SCOPE

This chapter looks to identify and list the factors that can and will affect call processing and incident handling times. If the ECC is held to call answering standards and best practices and are not meeting them, it is likely due to the factors list herein. These factors are typically not accounted for when collecting, aggregating, and reporting call processing data.

6.1 Administrative

- 6.1.1 Those given the responsibility for collecting, analyzing, and assessing call processing and incident handling data shall be knowledgeable of the factors affecting call processing and incident handling times in their respective ECCs and develop systems to communicate the findings to leadership.
- 6.1.2 ECC managers shall understand all aspects that could delay and affect call processing and incident handling times. This knowledge is essential especially when communicating to management, stakeholders, and other direct reports (E.g., boards, councils, consortiums).

- **6.2 Factors Affecting or Delaying Call Processing Times** This section lists important factors to consider that may delay or affect call processing times in the ECC.
- 873 6.2.1 Challenging callers (children, elderly, intoxicated callers, callers under duress).
 - 6.2.2 Communication impaired callers (language barrier, translation services needed, speech problems, hard of hearing or loss of hearing).
 - 6.2.3 Difficult location determination.
- 877 6.2.4 Dispatch protocols.
 - 6.2.5 Other factors such as multiple calls on same incident, poor cellular reception, non-emergency calls, responding to calls in rural areas.
 - 6.2.6 Note, this list is not a complete account of all causal factors that may delay or affect call processing times. The AHJ should have controls in place to capture causal factors not listed and any associated data.

884 885 886	6.3		rs Affecting or Delaying Incident Processing Times ²⁰ - This section lists ant factors for consideration that may delay or affect incident processing times for the ECC.
887		6.3.1	Challenging callers (e.g., children, the elderly, callers under duress, intoxicated callers, etc.)
888		6.3.2	Language barrier/translation
889		6.3.3	Difficult location determination
890		6.3.4	Speech or Hearing-impaired callers
891		6.3.5	Dispatch protocols
892 893		6.3.6	Other factors (i.e., multiple calls of the same incident, non-emergency calls, poor cellular reception and responding to emergency calls in rural areas).
894		6.3.7	Combined call taker/dispatcher responsibilities (when the PST serves both roles).
895 896 897 898		6.3.8	Note, this list is not a complete account of all causal factors that may delay or affect incident processing times. The AHJ should have controls in place to capture causal factors not listed and any associated data.
899 900 901	6.4		rs Affecting Incident Processing Times — Non-Call Related ²¹ - This section lists ant factors for consideration external to calls that may delay or affect incident processing times ECC.
902		6.4.1	Staffing Levels
903		6.4.2	Staff Experience
904		6.4.4	Weather
905		6.4.5	Time of Day
906		6.4.6	Technology/Equipment Performance
907		6.4.7	Season
908		6.4.8	Day of Week
909		6.4.9	Holidays
910 911		6.4.10	Other (special town or city events, staff unwillingness to use the CAD system instead of pen and paper, and Family Medical Leave Act (FMLA)vacancies, etc.)
912			

²⁰ APCO and GMU CSSR. Call Handling and Incident Processing at ECCs (2019). Section III. "Factors Affecting Incident Processing Times."

²¹ APCO and GMU CSSR. Call Handling and Incident Processing at ECCs (2019). Section III. "Factors Affecting Incident Processing Times."

Note: Appendix D contains incident processing data for the three specific incident types listed in section that may require a longer processing time. The data comes from an APCO and GMU/CSSR study and is listed by ECC size. Appendix D does not list data for Text to 9-1-1 as many agencies in the study were not processing this call type at the time of the study. The data shown in Appendix D is informational in nature and may serve as a benchmark for your agency.

ACRONYMS AND ABBREVIATIONS

944		
945	AHJ	Authority Having Jurisdiction
946	ANS	American National Standards
947	ANSI	American National Standards Institute
948	APCO	Association of Public-Safety Communications Officials
949	CAD	Computer Aided Dispatch
950	СРЕ	Customer Premises Equipment
951	CSSR	Center for Social Science Research
952	ECC	Emergency Communications Center (replaces the acronym PSAF
953	EMS	Emergency Medical Services
954	GIS	Geographical Information System
955	GMU	George Mason University
956	IP	Internet Protocol
957	MDT	Mobile Data Terminal
958	NG911	Next Generation 9-1-1
959	PS	Public Safety
960	PSAP	Public Safety Answering Point (currently referred to as ECC)
961	PST	Public Safety Telecommunicator
962	RMS	Records Management System
963	RP	Reporting Party/Person
964	SDC	Standards Development Committee
965	SOP	Standard Operating Procedure
966	TDD	Telecommunication Device for the Deaf
967	TRS	Telecommunications Relay Service
968	TTY	Text Telephone (use of telephones for the hearing impaired)
969	VoIP	Voice over Internet Protocol

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GLOSSARY

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given time period.

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973 974 975	Agency: The hiring authority or also referred to as the Authority Having Jurisdiction (AHJ). The Agency or body that defines the roles, responsibilities, policies and procedures, and performance standards that direct the activity of the Public Safety Telecommunicator.
976 977	Average Speed of Answer (average answer time): A common quality measure in ECCs; it is the time it takes a dispatcher to pick up from first ring in the ECC.
978	Call Answer to Incident Entry: Time from when call is answered at the ECC to initiation of incident entry.
979 980 981	Call Completion Time: The non–telephone time spent processing a call. It includes all additional time related to a call; time spent entering data into the CAD system, managing the call internally, transferring calls, dispatching a unit to the scene, address verification, etc.
982 983	Call Receipt to Call Answer : Time from when a call arrives at Customer Premise Equipment (CPE) to call answer.
984	Call Referral: Callers are referred to secondary number for response to their needs.
985 986	Call Transfer: The PST determines the proper responding agency and connects the caller to that agency which then performs the necessary dispatching.
987 988	Call Taker: A PST who processes incoming calls through the analyzing, prioritizing, and disseminating of information to aid in the safety of the public and responders.
989 990	Concept of Operations: User-oriented document describing the characteristics of a proposed organization, function, or system from the viewpoint of its stakeholders.
991 992 993	Dispatcher: (more commonly referred to as Public Safety Telecommunicator (PST) A PST who provides dispatch services by analyzing, prioritizing, and processing calls, while maintaining radio contact with responders to ensure safe, efficient, and effective responses to requests for public safety services.
994 995 996	Emergency Communications Center (ECC): (replaces the term PSAP) A facility equipped and staffed to receive emergency and non-emergency public safety calls for service via telephone and other communication devices. Emergency calls for service are answered, assessed, classified, and prioritized.
997 998	Incident: An emergency or non-emergency event requiring a response from Police, Fire, EMS, or combination thereof.
999 1000 1001	Incident Handling Process: Consists of the following stages: pre-incident prevention, efficient in-incident handling, and post-incident handling. Based on methods such as video analysis, incidents can be investigated, criminals can be captured, and contingency plans can be optimized.
1002	Incoming Call Volume: The total number of incoming wireless, wireline, and text-to-911 calls received in a

1004 1005 1006	Incident Entry to Call Dispatch : Time from initiation of incident entry to when call is dispatched to assigned units. This may be identified as verbal dispatch of the call, completion of assignment in CAD, silent dispatch to Mobile Data Terminal (MDT), or Initiation of tones.
1007 1008	Priority: Designation given to a request for service indicating the level of urgent or emergent response required.
1009 1010 1011 1012	Public Safety Telecommunicator (PST) : (formerly referred to as Dispatcher) The individual employed by a public safety agency whose primary responsibility is to receive, process, transmit, and/or dispatch emergency and non-emergency calls for service for law enforcement, fire, emergency medical, and other public safety services via telephone, radio, and other communication devices.
1013 1014	Shall: The terms "shall", "must", "mandatory", and "required" are used throughout this document to indicate normative requirements and to differentiate from those parameters that are recommendations.
1015 1016	Should: The term "should" define parameters that are recommendations. The term is differentiated from "shall" by the lack of normative requirements.
1017 1018 1019	Standard Operating Procedures (SOP): A written directive that provides a guideline for conducting an activity. The guideline may be made mandatory by including terms such as "shall" rather than "should" or "must" rather than "may."
1020	Text to 9-1-1 Session: The entire period of communication via Text to 9-1-1.
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1036	REFERENCES AND RESOURCES
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1038	APCO Project 43 Broadband Implications for the PSAP, (April 2016)
1039	APCO ANS 1.117.1-2019 Public Safety Communications Center Key Performance Indicators
1040 1041	APCO and CSSR Call Handling and Incident Processing in Emergency Communications Centers: A Research Report, (Sept 2019)
1042	APCO/NENA ANS 1.102.3-2020 Emergency Communications Center (ECC) Service Capability Criteria
1043	Rating Scale
1044	APCO ANS 1.111.2-2018 Public Safety Communications Common Incident Types for Data Exchange
1045 1046	CALEA Standards for Public Safety Communications Agencies, 6.2 Call Taking, 6.3 Communication with Field Units
1047	NENA-STA-020.1-2020 Call Processing
1048	NENA-STA-019.1.2018 NG9-1-1 Call Processing Metrics Standard
1049	NFPA 1225 Standards for Emergency Services Communications
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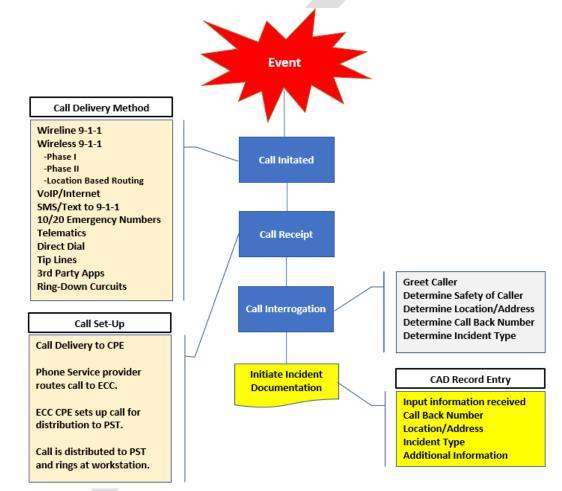
APPENDIX A – WORKFLOW

CALL PROCESSING PHASE

In the call processing phase, understanding each step in the workflow and the processes involved is crucial for engaging interested parties. This insight is necessary when speaking to stakeholders and explaining why and how things take place in the call processing phase. It is also important when developing programs for the ECC (E.g., policy, procedure and protocols, training). Below is the workflow identified for the call processing phase and is the basis to a large extent the content in Chapter 4.

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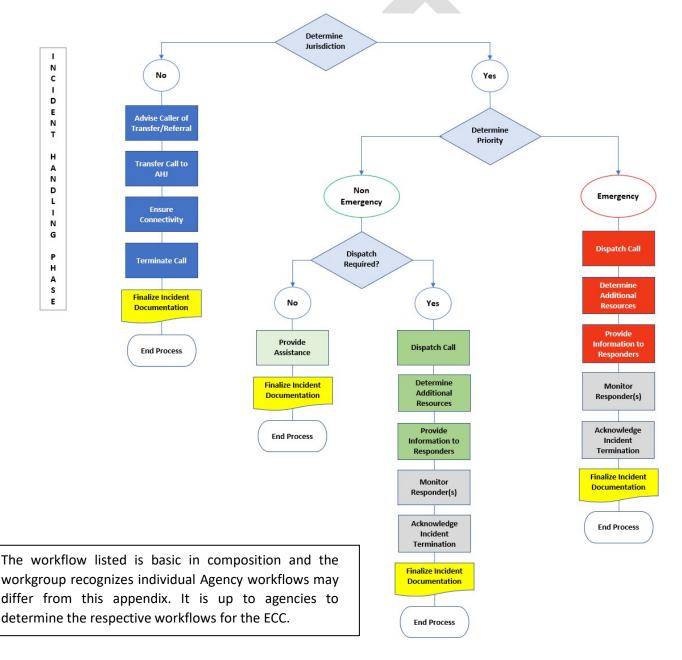
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The workflow shown is basic in composition and the working group recognizes individual Agency workflows may differ from this appendix. It is up to agencies to determine their respective workflows for the ECC.

APPENDIX B – WORKFLOW

INCIDENT HANDLING PHASE

In the incident handling phase, some of the processes shown below work simultaneously with the call processing phase. In conjunction with the call processing phase workflow, the incident handling phase workflow is basic in nature; however, it lists the most relevant steps in each phase. Understanding each step in the workflow and the processes involved is critical. This insight is necessary when speaking to stakeholders and explaining why and how things happen in the incident handling phase. It is also important when developing programs for the ECC (i.e., policy, procedure and protocols, training). Below is the workflow identified for the incident handling phase and is the basis to a large extent the content in Chapter 5.



APPENDIX C

AP

APCO/GM CSSR CALL PROCESSING and INCIDENT HANDLING TIMES

In 2017, APCO International, in collaboration with the Center for Social Science Research (CSSR) at George Mason University, conducted a study on call processing and incident handling times from various Emergency Communications Centers (ECCs) in the United States. The aim of the study was to gather data on how long ECCs process different incident types, and what factors might affect call processing times. Table 3 (below) presents the result of ECC call processing times across the three primary disciplines: law enforcement, fire, and EMS.²²

	Table 3: EC	C Call Processing	g Times (in seconds	s)	
	Total ECC Average	Small ECC Average	Medium ECC Average	Large ECC Average	F
Call Answer Time	9.1 (n=302)	9.5 (n=213)	7.9 (n=78)	9.7 (n=11)	1.7†
LAW ENFORCEMENT CALL	LS				
Call Answer to Incident Entry	38.4 (n=254)	36.7 (n=184)	42.2 (n=63)	47.6 (n=7)	1.4
Incident Entry to Dispatch	59.7 (n=270)	54.1 (n=199)	74.6 (n=63)	82.4 (n=8)	5.3*†
FIRE CALLS					
Call Answer to Incident Entry	35.0 (n=238)	34.2 (n=175)	37.6 (n=57)	33.5 (n=6)	0.42
Incident Entry to Dispatch	47.2 (n=251)	45.6 (n=182)	50.7 (n=63)	61.3 (n=6)	1.2
EMS CALLS					
Call Answer to Incident Entry	36.4 (n=225)	35.3 (n=163)	40.1 (n=56)	33.5 (n=6)	0.8
Incident Entry to Dispatch	47.8 (n=239)	47.0 (n=175)	48.1 (n=57)	67.9 (n=7)	1.2
*p<.05; †Welch F					

²² The findings in this report are based on primary data collected through a survey instrument. The survey draws on a sample of 772 employees working at ECCs from across the country. Over three-quarters of the respondents were Directors, Supervisors, or Communications Managers at ECCs.

APPENDIX D

APCO/GM CSSR CALL PROCESSING and INCIDENT HANDLING TIMES

Table 4 (below) presents the incident processing data for the three specific incident types that may require a longer processing time possibly due to incidents requiring language translations, incidents requiring use of a TDD/TTY device or TRS, and incidents requiring the determination of incident locations due to insufficient information.

Table 4: ECC	Call Processi	ing Time By In	cident Type (Time	in Seconds)	
LANGUAGE TRANSLATION	Total ECC Average	Small ECC Average	Medium ECC Average	Large ECC Average	F
Call Answer to Incident	70.9	66.4	77.4	123.3	3.5*
Entry	(n=153)	(n=115)	(n=32)	(n=6)	
Incident Entry to	81.3	78.9	82.9	126.0	2.3
Dispatch	(n=154)	(n=116)	(n=33)	(n=5)	
TDD/TTY DEVICE, TRS					
Call Answer to Incident	48.4	43.8	64.0	63.3	1.6
Entry	(n=117)	(n=90)	(n=24)	(n=3)	
Incident Entry to	62.1	62.3	60.2	75.0	0.1
Dispatch	(n=120)	(n=95)	(n=23)	(n=2)	
DIFFICULT LOCATION DETERM	INATION				
Call Answer to Incident	70.0	68.7	74.1	82.5	0.2
Entry	(n=161)	(n=127)	(n=30)	(n=4)	
Incident Entry to	74.6	73.0	78.1	100.0	0.4
Dispatch	(n=156)	(n=121)	(n=32)	(n=3)	
*p<.05					

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